

An introduction to integrable systems

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Outline of the presentation

- 1 Introduction
- 2 Liouville integrability
- 3 Hamilton–Jacobi theory
- 4 KAM theory
- 5 Generalizations

Introduction

- Roughly speaking, a completely integrable system is a mechanical system with n independent and “compatible” constants of the motion, where n is the number of degrees of freedom.
- In such systems, the equations of motion can be completely “solved”, being reduced to quadratures.

Preliminary concepts

- Let (M, ω) be a symplectic manifold.
- Recall that the Poisson bracket $\{\cdot, \cdot\}: C^\infty(M) \times C^\infty(M) \rightarrow C^\infty(M)$ is given by

$$\{f, g\} = \omega(X_f, X_g).$$

Definition

A collection of functions $f_1, \dots, f_n \in C^\infty(M)$ are said to be **in involution** if $\{f_i, f_j\} = 0$ for each $i, j = 1, \dots, n$.

Proposition

Let (M, ω, h) be a Hamiltonian system. A function $f \in C^\infty(M)$ is a conserved quantity iff it is in involution with h .

Preliminary concepts

Definition

A submanifold $N \subset M$ of a symplectic manifold (M^{2n}, ω) is called **Lagrangian** if $\dim N = n$ and $\omega|_N = 0$.

Proposition

*Let T^*Q be the cotangent bundle of Q and let θ denote its tautological one-form. A one-form $\alpha \in \Omega^1(Q)$ is closed iff $\text{Im } \alpha$ is a Lagrangian submanifold of $(T^*Q, d\theta)$.*

Definition

A Hamiltonian system (M, ω, h) is called **completely integrable** (or **Liouville integrable**) if there exists n functions $f_1, f_2, \dots, f_n \in C^\infty(M)$ such that

- ① h, f_1, f_2, \dots, f_n are in involution,
- ② they are functionally independent (i.e. $df_1 \wedge \dots \wedge df_n \neq 0$) almost everywhere,

The functions f_1, f_2, \dots, f_n are called **integrals**.

Theorem (Liouville–Arnold theorem)

Let (M, ω, h) be a completely integrable system. Let M_Λ be a regular level set of the integrals f_1, \dots, f_n , i.e.

$$M_\Lambda = \{x \in M \mid f_i = \Lambda_i\}, \quad d_x f_1 \wedge \dots \wedge d_x f_n \neq 0 \quad \forall x \in M_\Lambda.$$

Then

- ① M_Λ is a Lagrangian submanifold of (M, ω) .
- ② M_Λ is invariant w.r.t. the flow of X_h and X_{f_i} .
- ③ Any compact connected component of M_Λ is diffeomorphic to \mathbb{T}^n .
- ④ On a neighborhood of M_Λ there are coordinates (φ^i, s_i) such that
 - Ⓐ $\omega = d\varphi^i \wedge ds_i$,
 - Ⓑ the action coordinates s_i are functions of the integrals f_1, \dots, f_n ,
 - Ⓒ the integral curves of X_h are given by

$$\dot{\varphi}^i = \Omega^i(s_1, \dots, s_n), \quad \dot{s}_i = 0.$$

Proof of ① and ②

- Since $X_{f_j} f_i = \{f_i, f_j\} = 0 \forall i, j$ and $T_x M_\Lambda = \ker\{df_i\}$, the vector fields X_{f_i} are tangent to M_Λ . In other words, their flows leave M_Λ invariant.
- Since df_1, \dots, df_n are linearly independent and $v \mapsto \iota_v \omega$ is an isomorphism, X_{f_1}, \dots, X_{f_n} are linearly independent.
- Hence, $\{X_{f_1}(x), \dots, X_{f_n}(x)\}$ is a basis of $T_x M_\Lambda$ for each $x \in N$.
- Using that $\omega(X_{f_i}, X_{f_j}) = \{f_i, f_j\} = 0$ for each i, j , we conclude that M_Λ is Lagrangian.

Sketch of the proof of ③

Lemma

Let N be an n -dimensional connected manifold and let $X_1, \dots, X_n \in \mathfrak{X}(N)$ be linearly independent complete vector fields. If these vector fields are pairwise commutative, then N is diffeomorphic to $\mathbb{T}^k \times \mathbb{R}^{n-k}$ for some $k \leq n$. In particular, if N is compact then $N \simeq \mathbb{T}^n$.

- Under the hypotheses of the lemma, the flows of X_i define a Lie group action $\Phi: \mathbb{R}^n \times N \rightarrow M$ of \mathbb{R}^n on M given by

$$\Phi(t_1, \dots, t_n)(x) = \phi_{t_1}^{X_1} \circ \dots \circ \phi_{t_n}^{X_n}(x).$$

- Since X_i are linearly independent, for each $x \in N$ the mapping $A_x: (t_1, \dots, t_n) \mapsto \Phi(t_1, \dots, t_n)(x)$ is an immersion.

Sketch of the proof of ③

- Hence, $O(x) = \text{Im } A_x$ is open in N .
- Since N is connected, $O(x) = N$.
- Every orbit $O(x)$ is the quotient space of \mathbb{R}^n by the isotropy subgroup G_x .
- Since A_x is a local diffeomorphism, G_x is discrete.
- One can show that G_x is a lattice \mathbb{Z}^k for some $k \leq n$.
- We conclude that $N \simeq \mathbb{R}^n / \mathbb{Z}^k \simeq \mathbb{T}^k \times \mathbb{R}^{n-k}$.

Sketch of the proof of 4

- Let $F = (f_1, \dots, f_n): M \rightarrow \mathbb{R}^n$.
- For each point $y \in \mathbb{R}^n$, there exists a neighborhood D of y such that $F^{-1}(D)$ is diffeomorphic to $D \times F^{-1}(y)$. Moreover, $F: D \times F^{-1}(y) \rightarrow D$ is a trivial bundle.
- Consider a neighborhood $U = D \times M_\Lambda$ of $M_\Lambda = F^{-1}(\Lambda)$ as above.
- The integrals f_1, \dots, f_n are coordinates on D .
- Let (ψ^1, \dots, ψ^n) be angular coordinates of the torus $\mathbb{T}^n \simeq M_\Lambda$.
- The integrals f_1, \dots, f_n are coordinates on D .
- In coordinates (ψ^i, f_i) , the symplectic form is given by

$$\omega = a_{ij} d\psi^i \wedge d\psi^j + c_i^j d\psi^i \wedge df_j + b^{ij} df_i \wedge df_j.$$

- Since M_Λ is Lagrangian, $0 = \omega|_{M_\Lambda} = a_{ij} d\psi^i \wedge d\psi^j$.

Sketch of the proof of 4

- One can show that c_i^j and b^{ij} do not depend on ψ^1, \dots, ψ^n .
- Hence,

$$\omega = d\psi^i \wedge \underbrace{\left(c_i^j df_j \right)}_{\omega_i} + \underbrace{b^{ij} df_i \wedge df_j}_{\beta} = d\psi^i \wedge \omega_i + \beta.$$

- Since they do not depend on (ψ^i) , $\omega_i \in \Omega^1(D)$, $\beta \in \Omega^2(D)$.
- The fact that ω is closed implies that ω_i and β are closed.
- Thus they are exact, namely, $\omega_i = ds_i$ and $\beta = d\gamma$.
- The functions $s_1 = s_1(f_1, \dots, f_n), \dots, s_n = s_n(f_1, \dots, f_n)$ are independent, so (ψ^i, s_i) are coordinates on U .
- Let $\varphi^i = \psi^i + \gamma^i(s_1, \dots, s_n)$, where $\gamma = \gamma^i ds_i$.

Sketch of the proof of ④

- Geometrically, this means that we change the initial points of reference for the angle coordinates on the torus.
- Hence,

$$d\varphi^i \wedge ds_i = d\psi^i \wedge ds_i + d\gamma = \omega.$$

- Observe that $\frac{\partial}{\partial \varphi^i} = X_{s_i}$, so

$$\frac{\partial h}{\partial \varphi^i} = X_{s_i}(h) = \{s_i(f_1, \dots, f_n), h\} = 0,$$

and we have $h = f(s_1, \dots, s_n)$.

Sketch of the proof of ④

- Moreover,

$$X_h = \underbrace{\frac{\partial h}{\partial s_i}}_{\Omega^i} \frac{\partial}{\partial \varphi^i},$$

where the frequencies Ω^i depend only on the action coordinates.

Explicit expression for angle variables

- Choose a point $x \in M_\Lambda$ and consider the solutions of the equation

$$\Phi(e_j)(x) = x.$$

- Then $\{e_1, \dots, e_n\}$ is a basis of the lattice \mathbb{Z}^n .
- By the implicit function theorem, e_j will depend on x smoothly.
- If $y = \Phi(a)x$, where $a = a^1 e_1 + \dots + a^n e_n \in \mathbb{R}^n$, define the angle coordinates by

$$\psi^j = 2\pi a^j \pmod{2\pi}.$$

Explicit expression for action variables

- Fixing the basis $\{e_1, \dots, e_n\}$ uniquely determines the set of basis cycles $\gamma_1, \dots, \gamma_n$ in the fundamental group $\pi_1(\mathbb{T}^n) = \mathbb{Z}^n$.
- Let $\alpha \in \Omega^1(U)$ such that $d\alpha = \omega$.
- To each torus, assign the number

$$s_i = \frac{1}{2\pi} \oint_{\gamma_i} \alpha,$$

- Then $s_1(f_1, \dots, f_n), \dots, s_n(f_1, \dots, f_n)$ are smooth functions on U .
- They coincide (up to a constant) with the action variables.

Example: the n -dimensional harmonic oscillator

- Consider the Hamiltonian system $(\mathbb{R}^{2n}, \omega, h)$, where

$$h = \sum_{i=1}^n \left(\frac{p_i^2}{2} + \frac{x_i^2}{2} \right), \quad \omega = dx_i \wedge dp_i.$$

- It is completely integrable. Indeed, the functions

$$f_i = \frac{p_i^2}{2} + \frac{x_i^2}{2}$$

are integrals, i.e. $\{f_i, h\} = 0$ and $df_1 \wedge \cdots \wedge df_n \neq 0$ a.e.

- The level sets M_Λ are given by

$$M_\Lambda = \left\{ (x_1, \dots, x_n, p_1, \dots, p_n) \in \mathbb{R}^{2n} \mid \underbrace{p_i^2 + x_i^2}_{\text{circles}} = 2\Lambda_i \right\} \simeq \mathbb{T}^n.$$

Example: the n -dimensional harmonic oscillator

- We can write

$$h = h(f_1, \dots, f_n) = \sum_{i=1}^n f_i.$$

- Let $\varphi^i = \arctan\left(\frac{x_i}{p_i}\right)$. Then,

$$\omega = d\varphi^i \wedge df_i.$$

- Thus (φ^i, f_i) are action-angle coordinates.

Example: the n -dimensional harmonic oscillator

- The Hamiltonian vector fields are given by

$$X_{f_i} = \frac{\partial}{\partial \varphi^i}, \quad X_h = \sum_{i=1}^n \frac{\partial}{\partial \varphi^i}.$$

- Hamilton's equations are given by

$$\begin{aligned}\dot{\varphi}^i &= 1, \\ \dot{f}_i &= 0.\end{aligned}$$

Hamilton–Jacobi equation from canonical transformations

- Suppose that (q^i, p_i) and (Q^i, P_i) are two sets of Darboux coordinates for $(\mathbb{R}^{2n}, \omega)$, namely,

$$\omega = \underbrace{dq^i \wedge dp_i}_{-d(p_i dq^i)} = \underbrace{dQ^i \wedge dP_i}_{-d(P_i dQ^i)}$$

- Then, on an open subset $U \subseteq M$,

$$p_i dq^i - P_i dQ^i = dF,$$

for some $F \in C^\infty(U)$.

Hamilton–Jacobi equation from canonical transformations

- Assume that, on some neighbourhood $V \subseteq U$, the Jacobian matrix

$$\frac{\partial(Q, q)}{\partial(p, q)}$$

is not singular.

- Then, we can express $F(q, p) = S(q, Q)$, where S is called the **generating function** of the canonical transformation $(q, p) \mapsto (Q, P)$.
- We have

$$dS = p_i dq^i - P_i dQ^i \rightsquigarrow p_i = \frac{\partial S(q, Q)}{\partial q^i}, \quad P_i = -\frac{\partial S(q, Q)}{\partial Q^i}.$$

Hamilton–Jacobi equation from canonical transformations

- Assume that the canonical transformation is such that the Hamiltonian function in the new coordinates is given by $h = k(P)$, namely,

$$h\left(q^i, \frac{\partial S(q, Q)}{\partial q^i}\right) = k(P_i).$$

- Then, Hamilton's equations are given by

$$\dot{Q}^i = -\frac{\partial k}{\partial P_i}, \quad \dot{P}_i = 0.$$

- Since P_i are constants of the motion, by fixing initial conditions we have $h(P) = E = \text{const.}$, obtaining the **Hamilton–Jacobi equation**:

$$h\left(q^i, \frac{\partial S}{\partial q^i}\right) = E.$$

Action-angle coordinates from the generating function

- Recall that action variables can be obtained as

$$s_i = \frac{1}{2\pi} \oint_{\gamma_i} \theta = \frac{1}{2\pi} \oint_{\gamma_i} p_j dq^j,$$

- If the generating function S is **separable**, i.e.

$$S(q^i; Q^i) = S_1(q^1; Q^1, \dots, Q^n) + \dots + S_n(q^n; Q^1, \dots, Q^n),$$

then we can take

$$s_i = s_i(Q^1, \dots, Q^n) = \frac{1}{2\pi} \oint_{\gamma_i} \frac{\partial S_i}{\partial q^i} dq^i.$$

Action-angle coordinates from the generating function

- We can now regard the action coordinates s_i as “the new Q^i ”.
- Let $W = W(q^i, s_i)$ be the generating function of the transformation $(q^i, p_i) \mapsto (s_i, \varphi^i)$.
- Then, angle coordinates are given by

$$\varphi^i = -\frac{\partial W}{\partial s_i}.$$

Example: the n -dimensional harmonic oscillator

- The Hamilton–Jacobi equation takes the form

$$\frac{1}{2} \sum_{i=1}^n \left(\left(\frac{\partial S}{\partial x_i} \right)^2 + x_i^2 \right) = E.$$

- With the *ansatz* $S = S_1(x_1, E_1) + \cdots + S_n(x_n, E_n)$ and $E = E_1 + \cdots + E_n$, the Hamilton–Jacobi equation is reduced to

$$\left(\frac{\partial S_i}{\partial x_i} \right)^2 + x_i^2 = 2E_i.$$

Example: the n -dimensional harmonic oscillator

- The solutions of these equations are given by

$$S_i(x_i, E_i) = \pm \frac{1}{2} \left(x_i \sqrt{2E_i - x_i^2} + 2E_i \arctan \left(\frac{x_i}{\sqrt{2E_i - x_i^2}} \right) \right)$$

- Action coordinates are given by

$$s_i = \frac{1}{2\pi} \oint_{\gamma_i} \frac{\partial S_i}{\partial x^i} dx^i = \frac{1}{2\pi} \int_{-\sqrt{2E_i}}^{\sqrt{2E_i}} \sqrt{2E_i - x_i^2} dx^i = \frac{E_i}{2}$$

Example: the n -dimensional harmonic oscillator

- The new generating function is thus

$$W(x, s) = \sum_{i=1}^n \frac{1}{2} \left(x_i \sqrt{4s_i - x_i^2} + 4s_i \arctan \left(\frac{x_i}{\sqrt{4s_i - x_i^2}} \right) \right).$$

- Angle coordinates are given by

$$\varphi^i = \frac{\partial S}{\partial s_i} = 2 \arctan \left(\frac{x_i}{\sqrt{4s_i - x_i^2}} \right) = 2 \arctan \left(\frac{x_i}{p_i} \right).$$

- Up to multiplicative constant factors, these are the action-angle coordinates we obtained before.

Hamilton–Jacobi equation: the geometric approach

- Consider the Hamiltonian system (T^*Q, ω, h)
- We want to find a section γ on $\pi_Q : T^*Q \rightarrow Q$ which maps integral curves of $X_h^\gamma := T\pi_Q \circ X_h \circ \gamma$ into integral curves of X_h , namely,

$$X_h^\gamma \circ \sigma(t) = \frac{d}{dt}\sigma(t) \implies X_h \circ (\gamma \circ \sigma(t)) = \frac{d}{dt}(\gamma \circ \sigma(t)).$$

- The 1-form γ satisfies this condition iff X_h^γ and X_h are γ -related, i.e.

$$X_h \circ \gamma = T\gamma \circ X_h^\gamma.$$

- In other words, the following diagram commutes:

$$\begin{array}{ccc} T^*Q & \xrightarrow{X_h} & TT^*Q \\ \gamma \left(\begin{array}{c} \uparrow \\ \downarrow \pi_Q \\ \downarrow \end{array} \right) & & \left(\begin{array}{c} \uparrow T\gamma \\ \downarrow T\pi_Q \\ \downarrow \end{array} \right) \\ Q & \xrightarrow{X_h^\gamma} & TQ \end{array}$$

Hamilton–Jacobi equation: the geometric approach

- Assuming that $d\gamma = 0$, the γ -related condition is equivalent to

$$d(h \circ \gamma) = 0. \quad (1)$$

- This can be easily shown by computations in fibred coords. (q^i, p_i) .
- Since γ is closed, locally $\gamma = dS$ and equation (1) can be written as

$$h\left(q^i, \frac{\partial S}{\partial q^i}\right) = E,$$

for some (local) constant E .

Hamilton–Jacobi equation: the geometric approach

Theorem (Hamilton–Jacobi theorem)

Let γ be a closed 1-form. Then, the following assertions are equivalent:

- 1 If $\sigma : \mathbb{R} \rightarrow Q$ is an integral curve of X_h^γ then $\gamma \circ \sigma$ is an integral curve of X_h .
- 2 $d(h \circ \gamma) = 0$,
- 3 $\text{Im } \gamma$ is a Lagrangian submanifold of (T^*Q, ω) invariant by X_h ,

Hamilton–Jacobi equation: the geometric approach

Proof.

① \Leftrightarrow ② follows from an straightforward computation in fibered coordinates.

① \Leftrightarrow ③ Since γ is closed, $\text{Im } \gamma$ is Lagrangian. Moreover,

$$X_h \circ \gamma = T(\underbrace{\gamma \circ \pi_Q}_{\text{id}_Q}) \circ X_h \circ \gamma = T\gamma \circ T\pi_Q \circ X_h \circ \gamma,$$

so X_h is tangent to $\text{Im } \gamma$ iff X_h^γ and X_h are γ -related, i.e. iff γ maps every integral curve of X_h^γ onto an integral curve of X_h . \square

Complete solutions

Definition

A **solution of the Hamilton–Jacobi problem** for h is a 1-form $\gamma \in \Omega^1(Q)$ such that

- 1 $d\gamma = 0$,
- 2 $d(h \circ \gamma) = 0$.

Definition

A **complete solution of the Hamilton–Jacobi problem** for h is a local diffeomorphism $\Phi: Q \times \mathbb{R}^n \rightarrow T^*Q$ such that, for each $\lambda \in \mathbb{R}^n$, $\Phi_\lambda = \Phi(\cdot, \lambda)$ is a solution of the Hamilton–Jacobi problem for h .

Complete solutions

- Let Φ be a complete solution of the Hamilton–Jacobi problem for h .
- Let $\pi_j: Q \times \mathbb{R}^n \rightarrow \mathbb{R}$ denote the projection $\pi_j: (q^i, \lambda) \mapsto \lambda_j$.

Proposition

*The functions $f_j = \pi_j \circ \Phi^{-1}: T^*Q \rightarrow \mathbb{R}$ are constants of the motion in involution.*

Complete solutions

Proof.

We know that X_h is tangent to $\text{Im } \Phi_\lambda$ for each $\lambda \in \mathbb{R}^n$, but we can write

$$\text{Im } \Phi_\lambda = \{x \in T^*Q \mid f_i(x) = \lambda_i\},$$

and hence $X_h(f_i) = 0$.

Since $\text{Im } \Phi_\lambda$ is Lagrangian and $X_{f_i}(x) \in T_x(\text{Im } \Phi_\lambda)$,

$$\{f_i, f_j\} = \omega_Q(X_{f_i}, X_{f_j}) = 0.$$



Example: the n -dimensional harmonic oscillator

- A complete solution of the Hamilton–Jacobi problem for h is

$$\Phi_E = dS = \pm \sum_{i=1}^n \sqrt{2E_i - x_i^2} dx_i.$$

- Its inverse is given by $\Phi^{-1}: (x_i, p_i) \mapsto (x_i, E_i(x_i, p_i))$, so the associated constants of the motion are

$$f_i = \pi_i \circ \Phi^{-1} = E_i = \frac{p_i^2}{2} + \frac{x_i^2}{2}.$$

- The Lagrangian tori are given by

$$M_\Lambda = \text{Im } \Phi_\Lambda = \{x \in M \mid f_i = \Lambda_i\}.$$

KAM theory

- The KAM (Kolmogorov–Arnold–Moser) theorem concerns the stability of completely integrable systems.
- Essentially it says that, under sufficiently small perturbations of the Hamiltonian function of the system, “most” Liouville tori persist.

KAM theory

Definition

An n -tuple $\Omega \in \mathbb{R}^n$ is called

- 1 **Rationally dependent** if $\Omega \cdot k = 0$ for some $k \in \mathbb{Z}^n$,
- 2 **Rationally independent** otherwise,
- 3 **Diophantine** if there exist $L, \gamma > 0$ such that

$$|\Omega \cdot k| \geq \frac{L}{(\sum_{i=1}^n |k_i|)^\gamma},$$

for all $k \in \mathbb{Z}^n$.

KAM theory

Theorem (KAM)

Let $H(\varphi, s) = h(s)$ be an analytic function on $\mathbb{T}^n \times \mathbb{R}^n$. Assume that

- 1 $\Omega = \frac{\partial h}{\partial s}(s_0)$ is Diophantine, where $s_0 \in \mathbb{R}^n$,
- 2 the Hessian matrix $\left(\frac{\partial^2 h}{\partial s^i \partial s^j}\right)$ is non-singular,
- 3 P is an analytic function on $\mathbb{T}^n \times \mathbb{R}^n$.

Then, for sufficiently small $\varepsilon > 0$, the perturbed system $H_\varepsilon = H + \varepsilon P$ admits an invariant torus \mathcal{T} close to $\mathbb{T}^n \times \{s_0\}$ such that the flow γ^t of the perturbed system on \mathcal{T} is given by

$$\psi^{-1} \circ \gamma^t \circ \psi(\varphi_0) = \varphi_0 + \Omega t,$$

where $\psi: \mathbb{T}^n \rightarrow \mathcal{T}$ is a diffeomorphism.

Generalizations of Liouville–Arnold theorem

- Liouville–Arnold theorem for non-compact M_Λ (Fiorani *et al.*)
- Liouville–Arnold–Nekhoroshev theorem: partially integrable systems, i.e. with $k < n$ constants of the motion in involution
- Non-abelian integrable systems: $\{f_i, f_j\} \neq 0$
- Singularities: $x \in M$ such that $\text{rank } dF(x) < n$

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Dziękuję bardzo!

Moltes gràcies!

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